

ACCEPTANCE OF RESEARCH AND INNOVATION FUNDING

This policy covers the acceptance of external funding for research and innovation activities (i.e. consultancy based on research and technical services) by staff within Research Services.

Academic staff have the freedom to undertake their research, and the following policy is not intended to restrict colleagues from undertaking their research. However, it is ultimately the responsibility of the University determine whether to accept funding or not in cases where there could be legal, ethical or reputation issues arising as a result of accepting the funding.

human rights or any form of theft, bribery, fraud, tax evasion activity) whether in the UK or overseas

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_____ at the University, including freedom of speech

- The conditions tie the funding to a specific activity, which causes unacceptable conflicts of interest for the University and/or is not in keeping with the

be accepted on behalf of the University by the Research Services function in consultation with the Principal Investigator.

Staff who are or might be considering seeking funding for or generating income from research and innovation should contact Research Services as early as possible in the funding process.

Key principles

- Prior to entering into any research and innovation funding arrangement, due diligence on both funder and partners, and sign off procedures must be undertaken to safeguard the University's ethics, reputation and finances.
- The University will establish if working with a specific country or organisation will raise ethical or reputational issues including areas such as human rights, diversity and/or national security and will make decisions based on relevant, available information.
- The University will also look at sectors and industries from whom we may accept research and innovation funding. We will look at the potential health and wellbeing, environmental, societal and economic impacts of the research and innovation funding activity.
- The University will expect any organisation we accept funding from not to interfere with the research process and to allow the right for results arising from the research to be published (after embargo periods to enable the protection of intellectual property, if appropriate; and allowing for the protection of funder and University confidential information).

Due diligence

Research Services will ensure that Due Diligence is carried out on sources of research and innovation funding, as appropriate. We will endeavour to carry out this Due Diligence (DD) prior to submission of a research grant application but if there are delays in the process (e.g. due to being notified late) then an application may be submitted which may then have to be withdrawn or, if funded, we may not accept it if the DD has subsequently raised issues. Research Services will make reasonable efforts to satisfy any questions raised before funding is accepted. The Director of Research Services will have responsibility in conjunction with the Pro-Vice-Chancellor (Research & Innovation) for deciding whether funding should be accepted, or whether further consideration is required by other bodies, including the University Committee for Research and Innovation or the Fundraising Ethics Committee, or by the Vice-Chancellor.

Exclusions

Proposed funding from the UK government, UK Universities, major UK or overseas charity funders funding councils and related bodies within the UK, or equivalent systems will not be subject to the due diligence process and can be progressed to the signing of an appropriate agreement with the funder.

Review of previous decisions

The University can at any time review (UK U)2(n)5e)-3.1(an)m v3 g8(it)7(d)-3 (Un)2 (o)-6p envu srshi(it)7(o)-6v)3 (n)-3 (s